

Westchester County Health Care Corporation  
Audit & Corporate Compliance Committee Meeting

January 4, 2023

4:30 P.M.

COMMITTEE MEMBERS PRESENT: Mr. McCoy  
Mr. Tulis  
Mr. Heimerdinger  
Mr. Hochberg  
Mr. Shroff  
Mr. Israel

BOARD MEMBERS PRESENT: Susan Gevertz

STAFF PRESENT: Ms. Switzer  
Mr. Brudnicki  
Ms. Ariel  
Mr. Palovick

The Westchester County Health Care Corporation Audit and Corporate Compliance Committee met on January 4, 2023. The meeting was called to order at 4:30 p.m. by Mr. McCoy, Chair. A quorum was present.

MR. MCCOY ASKED FOR A MOTION TO APPROVE THE MINUTES FROM THE MEETING OF OCTOBER 12, 2022. MR. TULIS MOTIONED, SECONDED BY MR. SHROFF. THE MOTION CARRIED UNANIMOUSLY.

#### 2023 Corporate Compliance Risk Assessment Summary

Ms. Ariel reviewed for the Committee, the 2023 Corporate Compliance Risk Assessment Summary Guide, and stated that a COVID-19 section was added again this year, as WMCHHealth continues to monitor changes as we approach the next phase of the pandemic. She reviewed the FY 2022 Revenue Cycle Data Chart and explained that some of the DRGs highlighted were audited in 2021 and 2022, and the DRGs in white are codes that are still out there and being identified in some areas, some are high risk and some are low risk.

A discussion ensued.

Ms. Ariel reviewed for the Committee the Medicare Case Mix; the FY 2022 OIG Work Plan; FY 2022 OMIG Medicaid Work Plan; Government Data Trends; the Payment for Evaluating Payment Patterns Electronic Report (PEPPER); and DRG/Case Mix Reports.

A discussion ensued.

#### 2023 Corporate Compliance and Internal Audit Work Plans

Ms. Ariel reviewed the 2023 Audit Initiatives for both the Valhalla and MHRH campuses, as well as WMCAPS. She stated that there are detailed descriptions of each audit initiative contained on Diligent.

Mr. Palovick reviewed the 2023 Internal Audit Work Plan Initiatives for WMC. He stated that he follows a similar process where he identifies risk perspectives associated with higher risk functions. Mr. Palovick informed the Committee that there is a description of each internal audit initiative on Diligent. He stated the audits include a good mix of financial, operational and information technology audits, as well as an audit of external auditor support and management requested reviews.

A discussion ensued.

MR. MCCOY ASKED FOR A MOTION TO APPROVE THE 2023 CORPORATE COMPLIANCE AND INTERNAL AUDIT WORK PLANS. MR. HEIMERDINGER MOTIONED, SECONDED BY MR. HOCHBERG. THE MOTION CARRIED UNANIMOUSLY.

Corporate Compliance Update:

Ms. Ariel advised the Committee of the following hospital audits in progress: DRG 917/918 – Poisoning and Toxic Effects of Drugs with and without MCC – Valhalla/MHRH; and Outpatient Wound Care – Valhalla/MHRH. She stated that there is an audit for Hospital Discharge Day Services CPT - 99239 in progress for WMCAPS.

Internal Audit Update:

Mr. Palovick informed the Committee of the following three internal audits in progress: Transportation Contract Administration; Payroll – MHRH; and 2022 Senior Management Expenses.

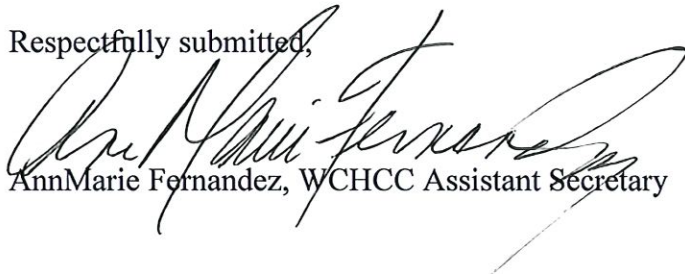
MR. MCCOY ASKED FOR A MOTION TO MOVE INTO EXECUTIVE SESSION. MR. HOCHBERG MOTIONED, SECONDED BY MR. HEIMERDINGER. THE MOTION CARRIED UNANIMOUSLY.

MR. MCCOY MOTIONED TO MOVE INTO EXECUTIVE SESSION WITHOUT MANAGEMENT, MR. SHROFF SECONDED. THE MOTION CARRIED UNANIMOUSLY.

The Committee moved out of Executive Session at 5:01 p.m.

No other business came before the Committee.

Respectfully submitted,



AnnMarie Fernandez, WCHCC Assistant Secretary